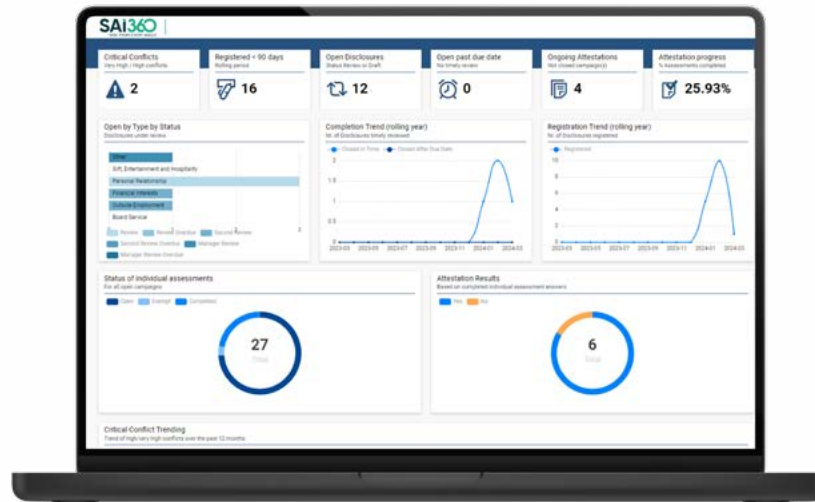# COI Disclosure Management

Identify, manage, and mitigate possible conflicts of interest

Blurred lines and gray areas are not unusual when it comes to conflicts of interest (COI). However, there should be no ambiguity when it comes to managing COI disclosures.

SAI360's COI Disclosure Management module simplifies and streamlines the process for identifying and mitigating potential competing or conflict of interest risks. Establish COI controls and manage disclosures at scale by operationalizing time-consuming tasks including preparation, tracking and attestation collection. Additionally, SAI360 Disclosure Manager provides COI training in the flow of work to improve awareness and drive behavior change.

Track a variety of COI:

- Board service
- Financial interest
- Outside employment
- Personal relationships
- Gifts, hospitality, entertainment and more!

Improve compliance

Automate your conflicts of interest disclosure process to ensure your employees and third parties are aware of their obligations and responsibilities. Reduce the risk of non-compliance.

Enhance transparency

Centralize COI data to easily track and report on potential conflicts. Make it easier for employees to disclose and your team to manage potential COI situations leading to greater trust and accountability within your organization.

Save time and resources

Smart and configurable workflow, automated approvals, and customizable templates streamline your disclosure process. Increase productivity and save time for your employees and compliance teams.

SAI360
RISK FROM EVERY ANGLE

KEY FEATURES

Registration portal

We provide a configurable, user-friendly interface for employees and contractors to register potential conflicts of interest, including the ability to enter relevant details such as the nature of the conflict, the individuals or organizations involved, and any relevant dates or events.

Conflicts of interest repository

Enable your managers and compliance team to quickly review and assess disclosed conflicts of interest using a standardized and consistent process. Track the status of each disclosure and add comments or feedback as needed.

Disclosure template editor

Take advantage of predefined templates for the most common types of conflicts with the ability to customize or create your own templates with our drag and drop editing tool. Powerful workflow tools include conditional logic, customized approval steps, field validation and role-based access.

Attestation campaigns

Automate the disclosure process for new hires, launch annual campaigns for existing employees, and create ad-hoc campaigns as needed with our configurable attestation campaign process.

Reporting and auditing

Generate reports on the number, types, and resolution of conflicts of interest. Track progress over time, identify trends and areas of risk, and demonstrate compliance with regulatory requirements and internal policies. Audit trail features allow for tracking of changes and access to the register.

Third-party integration

Easily integrate with other systems, such as HR and financial systems, to facilitate the identification and management of conflicts of interest across the organization.

Interested in learning more about how COI Disclosure Management can help your organization?

Contact your SAI360 representative.

Our unified approach to risk sets us apart

Today's complex risk landscape demands more. SAI360 leads the way with an integrated GRC platform and Learning solution that spans the entire risk and compliance spectrum.

Risk Management Solutions

- Risk & Compliance Management Solutions
- Enterprise & Operational Risk Management
- Regulatory Compliance
- Policy Management
- Third-Party / Vendor Risk Management
- Internal Controls
- Internal Audit
- Incident Management
- Conflicts of Interest (COI)
- Gifts and Hospitality
- IT & Cybersecurity
- Business Continuity Management

Ethics & Compliance Learning Solutions

- Anti-Bribery & Anti-Corruption
- Competition & Anti-Trust
- Conflicts of Interest
- Data Protection & Privacy
- Exports, Imports & Trade Compliance
- Harassment & Discrimination